

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 014442-000033 U.S. APPLICATION NUMBER (If known, see 37 CFR 1.5) 104526715
INTERNATIONAL APPLICATION NO. PCT/AU03/009814	INTERNATIONAL FILING DATE September 4, 2003	PRIORITY DATE CLAIMED September 4, 2002
TITLE OF INVENTION BIOMETRIC ACOUSTIC WRITING SYSTEM AND METHOD FOR IDENTIFYING INDIVIDUALS AND RECOGNIZING HANDWRITTING BY USING BIOMETRIC DATA		
APPLICANT(S) FOR DO/EO/US KEMPF, Jürgen		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 37(c)(2))</p> <ul style="list-style-type: none"> (a) <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). (b) <input checked="" type="checkbox"/> has been communicated by the International Bureau. (c) <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <ul style="list-style-type: none"> (a) <input type="checkbox"/> is attached hereto. (b) <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <ul style="list-style-type: none"> (a) <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). (b) <input type="checkbox"/> have been communicated by the International Bureau. (c) <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired. (d) <input type="checkbox"/> have not been made and will not be made. <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information. Check in the amount of \$3,130.00, Copy of Application as filed in German, Express Mail Certificate and Acknowledgment Postcard.</p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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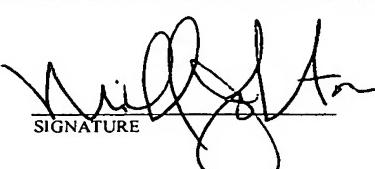
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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 107526715		INTERNATIONAL APPLICATION NO. PCT/US03/009814		ATTORNEY'S DOCKET NUMBER 014442-000030	
21. The following fees are submitted:				Applicant Use	Office Use Only
<input checked="" type="checkbox"/> a) Basic national fee \$300.00				\$	
<input checked="" type="checkbox"/> b) Examination fee \$200.00				\$	
<input checked="" type="checkbox"/> c) Search fee \$500.00				\$	
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
-100=	/50=			x \$250.00	\$
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	114-20=	94	x \$50.00	\$ 4,700.00	
Independent claims	4-3=	1	x \$200.00	\$ 200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				= \$360.00	\$ 360.00
				TOTAL OF ABOVE CALCULATIONS =	\$ 6,260.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½				\$	
				SUBTOTAL=	\$ 3,130.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
				TOTAL NATIONAL FEE =	\$ 3,130.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
				TOTAL FEES ENCLOSED =	\$ 3,130.00
				Amount to be refunded	
				Amount to be charged	
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$3,130.00 to cover the above fees.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4365 A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the intentional Application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Michael G. Johnston Moore & Van Allen PLLC 430 Davis Drive, Suite 500 Morrisville, NC 27560-6832 Phone: (919) 286-8000 Fax: (919) 286-8199					
 SIGNATURE					
<u>Michael G. Johnston</u> NAME					
<u>38,194</u> REGISTRATION NUMBER					

EXPRESS MAIL CERTIFICATE

10/526715

6101 Rec'd PCT/PTO 04 MAR 2005

EXPRESS MAIL LABEL NUMBER: EV 584685195 US

Date of Deposit: March 4, 2005

First Named Inventor: Jürgen Kempf

For: BIOMETRIC ACOUSTIC WRITING SYSTEM AND METHOD FOR IDENTIFYING INDIVIDUALS AND RECOGNIZING HANDWRITTING BY USING BIOMETRIC DATA

I hereby certify that the following documents:

1. Transmittal Letter to the US Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371;
2. Check in the Amount of \$3,130.00;
3. Copy of Application as Filed in German;
4. Copy of WO 2004/023382 A1;
5. Express Mail Certificate; and
6. Acknowledgement Postcard.

are being deposited in a single envelope with the United States Postal Services "Express Mail" service under 37 C.F.R. 1.10 on the date indicated above and such envelope is addressed to:
Mail Stop: PCT DO/US, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Tim Krouse
(Name of Person Mailing Documents)

J. Kempf
(Signature of Person Mailing Documents)